



REC

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102013972

Docket No.: P1387
U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ming-Huang LAN; Chang-Chou LAN; Chung-Liang LAN;
Chung-Min LAN

2. Name and address of receiving party(ies):

Ming-Huang LAN & Li-Yu WU

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Street Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

City: Taipei State: _____ Zip: _____

Country: Taiwan R.O.C.

Additional name(s) & Address(es) attached? ☐ Yes ☒ NoExecution Date: Feb. 2, 2002; Feb. 2, 2002; Feb. 2, 2002;
Feb. 2, 2002

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

10/023,362

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LaRiviere, Grubman & Payne, LLP

Internal Address: Mark E. Andrews

Street Address: P.O. Box 3140

City: Monterey State: CA Zip: 93942

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. Andrews

Name of Person Signing

Reg No. 43,472

Signature

Feb. 28, 2002

Date

ASSIGNMENT AGREEMENT

FOR good and valuable consideration, receipt of which is hereby acknowledged,

Ming-Huang LAN, of Taipei, Taiwan, R.O.C.

Chang-Chou LAN, of Taipei, Taiwan, R.O.C.

Chung-Liang LAN, of Taipei, Taiwan, R.O.C.

Chung-Min LAN, of Taipei, Taiwan, R.O.C.

hereinafter ASSIGNORS, do hereby sell, assign and transfer unto **MING-HUANG LAN and LI-YU WU**, doing business in **Taipei, Taiwan, R.O.C.**, hereinafter ASSIGNEES, its successors and assignees, the entire title, interest and right, including the right of priority, in an application for Letters Patent of the United States entitled **INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF**, filed on December 14, 2001, and assigned U.S. Serial No. 10/023,362, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor, and any and all provisional, divisional, reissue, continuation, substitute or renewal applications thereof which have been or shall be filed in the United States and any and all equivalents thereto in any and all foreign countries;

And for the above consideration ASSIGNORS covenant to ASSIGNEES, under identical terms herein, any and all improvements which have been or shall be developed by ASSIGNORS regarding subject matter herein;

And for the above consideration ASSIGNORS agree promptly upon request of the ASSIGNEES, its successors and assignees, to execute and deliver without further compensation any power of attorney, assignment, application (whether original, continuation, renewal, substitute, divisional or reissue) or other papers which may be necessary or desirable fully to secure to ASSIGNEES, its successors and assignees, the inventions and any of them described in said application and patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of appeal and interference proceedings involving said inventions and/or in the adjudication or re-examination of said Letters Patent, provided that the expenses which may be incurred by ASSIGNORS in lending such cooperation and assistance be paid by ASSIGNEES; and

ASSIGNORS covenant that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by ASSIGNORS, and that full right to convey the same as herein expressed is possessed by ASSIGNORS.

This agreement constitutes the entire agreement of the parties and supersedes and cancels any and all prior and/or contemporaneous utterances, statements, representation, understandings and/or agreements whether oral and/or written in connection with this agreement.

IN WITNESS WHEREOF, ASSIGNORS agree to the above-mentioned terms and conditions as evidenced by their signatures below:

2.20, 02
Date

Ming-Huang LAN
Ming-Huang LAN

2 20, 02
Date

Chang-Chou LAN
Chang-Chou LAN

2.20, 02
Date

Chung-Liang LAN
Chung-Liang LAN

2 20, 02
Date

Chung-Min LAN
Chung-Min LAN

EV062921548US

**Declaration and Power of Attorney
for Patent Application**

ATTORNEY'S DOCKET NO.
P1387

As the below named inventors, I/we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I/We believe we are the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI SOLID CULTURE
OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF

the specification of which

☐

is attached hereto.

☒

was filed on December 14, 2001

Application Serial No. 10/023,362

and was amended on _____

I/We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I/We hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) (Number/Country/Date Filed/Priority Claims: Yes/No)

NONE

I/We hereby claim the benefit under Title 35, United States Code, Section 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application (list application Serial No./Filing Date/Status):

NONE

POWER OF ATTORNEY: As the named inventors, I/we hereby appoint the following to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

USPTO Customer No. 24,394
LaRIVIERE, GRUBMAN & PAYNE, LLP
P.O. Box 3140
Monterey, CA 93924

SEND CORRESPONDENCE TO:
USPTO Customer No. 24,394
LaRiviere, Grubman & Payne, LLP
P.O. Box 3140
Monterey, CA 93942-3140

DIRECT TELEPHONE CALLS TO:
Name: Mark E. Andrews
Telephone: (831) 649-8800

I/We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or 1st or joint inventor: Ming-Huang LAN

Inventor's Signature: Ming-Huang LAN Dated: 2-20,02
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 2nd or joint inventor: Chang-Chou LAN

Inventor's Signature: Chang - Chou LAN Dated: 2-20,02
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 3rd or joint inventor: Chung-Liang LAN

Inventor's Signature: Chung - Liang LAN Dated: 2-20,02
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 4th or joint inventor: Chung-Min LAN

Inventor's Signature: Chung - Min LAN Dated: 2-20,02
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.